

DISCRETIONARY PORTFOLIO MANAGEMENT

Mandate errors can trigger client claims.

You manage client portfolios under mandates where allocation errors prompt loss claims. Data storage and funds access create additional fraud and cyber exposures.

RISKS WORTH DISCUSSING

- 01 Fiduciary duty breach allegations
- 02 Investment allocation suitability claims
- 03 Wire fraud and data breaches



Scan to get a
quote today

Or call
800-777-1872



Coverage worth discussing



Professional liability

Eligible errors in accepted discretionary management services.



Directors and officers

Covered management claims in accepted firm or principal roles.



Cyber liability

Covered breach response involving client account records.



Crime insurance

Defined theft or transfer fraud involving accepted fund interests.

Coverage is subject to policy terms and exclusions.

Why The Southern Agency

01 Listen

We review your operations, coverage and past claims.

02 Assess

We look at hazards and ways to reduce risk.

03 Build

We compare carriers, premiums and audit terms.

04 Support

We revisit coverage as your work changes.



SCAN TO

Read the full
guide

800-777-1872

TheSouthernAgency.com