The Southern Agency
Insurance & Bonds

DERIVATIVES ADVICE AND TRADING AUTHORITY

Trading outside a mandate can prompt claims.

You advise on derivatives under client-mandated strategies. Disputed program disclosures and unauthorized trading authority create risks distinct from ordinary market loss.

RISKS WORTH DISCUSSING

01 **Trading mandate disputes**

02 **Inaccurate disclosure documentation**

03 **Client account transfer fraud**

Scan to get a quote today

Or call
800-777-1872

COMMODITY TRADING ADVISOR INSURANCE

Coverage worth discussing

Professional liability
Eligible errors in accepted derivatives-advisory services.

Directors and officers
Covered management claims in accepted advisory-entity roles.

Crime insurance
Defined theft involving insured assets and covered handlers.

Cyber liability
Covered trading-system incident response or data restoration.

Coverage is subject to policy terms and exclusions.

Why The Southern Agency

01 **Listen**
We review your operations, coverage and past claims.

02 **Assess**
We look at hazards and ways to reduce risk.

03 **Build**
We compare carriers, premiums and audit terms.

04 **Support**
We revisit coverage as your work changes.

SCAN TO
Read the full guide

800-777-1872
TheSouthernAgency.com