The Southern Agency
Insurance & Bonds

SECURITIES UNDERWRITING AND DEAL ADVICE

Deal opinions can face claims.

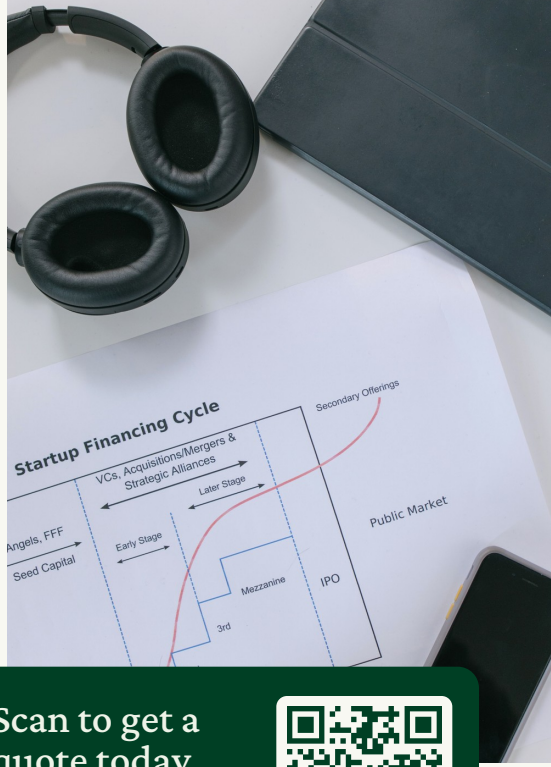
You underwrite securities and advise on acquisitions or mergers. Disputed opinions, offering documents and compromised deal records need accepted professional roles and entity-specific terms.

RISKS WORTH DISCUSSING

01 **Securities offering misstatement allegations**

02 **Conflict of interest breach claims**

03 **Sensitive transactional data theft**



Scan to get a quote today

Or call
800-777-1872

INVESTMENT BANK INSURANCE

Coverage worth discussing

Professional liability
Eligible errors in accepted underwriting or deal-advisory work.

Cyber liability
Covered response to breaches involving confidential deal data.

Crime insurance
Defined transfer fraud involving insured client-property interests.

Directors and officers
Covered securities claims in accepted bank leadership roles.

Coverage is subject to policy terms and exclusions.

Why The Southern Agency

01 **Listen**
We review your operations, coverage and past claims.

02 **Assess**
We look at hazards and ways to reduce risk.

03 **Build**
We compare carriers, premiums and audit terms.

04 **Support**
We revisit coverage as your work changes.

SCAN TO
Read the full guide

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TheSouthernAgency.com