

PORTFOLIO MANAGEMENT AND FUND ADVICE

Investment-limit breaches can prompt claims.

You make investment decisions, while pricing and records are often delegated. Manager duties and exposures depend on your specific agreements and work.

RISKS WORTH DISCUSSING

- 01 NAV pricing processing errors
- 02 Prospectus and marketing misstatements
- 03 Breach of investment guidelines

Scan to get a
quote today

Or call
800-777-1872



Coverage worth discussing



Professional liability

Eligible errors in accepted portfolio or pricing services.



Crime insurance

Defined employee theft or accepted fraudulent-transfer losses.



Directors and officers

Covered management claims in accepted adviser or fund roles.



Cyber liability

Covered shareholder-record breach response and privacy claims.

Coverage is subject to policy terms and exclusions.

Why The Southern Agency

01 Listen

We review your operations, coverage and past claims.

02 Assess

We look at hazards and ways to reduce risk.

03 Build

We compare carriers, premiums and audit terms.

04 Support

We revisit coverage as your work changes.



SCAN TO

Read the full
guide

800-777-1872

TheSouthernAgency.com