

DEAL ENTITIES AND INVESTOR CAPITAL

A deal entity needs its own review.

You manage investor capital for specific deals, where legal structures mean your liability requires protection separate from a sponsor's wider policy.

RISKS WORTH DISCUSSING

- 01 Conflicts of interest regarding deal fees
- 02 Theft or diversion of capital
- 03 Regulatory inquiry and defense costs



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quote today

Or call
800-777-1872



Coverage worth discussing



Directors and officers

Covered governance claims for scheduled deal entities.



Crime insurance

Covered theft of expressly insured investor funds.



Employment practices

Covered employment claims in accepted insured capacities.



Professional liability

Eligible claims from accepted administration services.



Cyber liability

Covered breach response involving deal records.

Coverage is subject to policy terms and exclusions.

Why The Southern Agency

01 Listen

We review your operations, coverage and past claims.

02 Assess

We look at hazards and ways to reduce risk.

03 Build

We compare carriers, premiums and audit terms.

04 Support

We revisit coverage as your work changes.



SCAN TO

Read the full
guide

800-777-1872

TheSouthernAgency.com