

FIXED PORTFOLIOS AND UNIT ADMINISTRATION

Unit-pricing errors can trigger investor claims.

Your work with a unit investment trust involves selecting holdings, evaluating prices, or administering distributions for fixed portfolios of investment assets.

RISKS WORTH DISCUSSING

- 01 Misrepresented prospectus and fees
- 02 Trust asset dishonesty exposure
- 03 Unit-holder data privacy breach

Scan to get a
quote today

Or call
800-777-1872



Coverage worth discussing



Directors and officers

Covered claims in accepted sponsor or trustee leadership roles.



Crime insurance

Defined dishonesty losses involving insured trust interests.



Employment practices

Covered employment allegations involving accepted trust staff.



Professional liability

Eligible errors in accepted pricing or distribution services.



Cyber liability

Covered unit-holder record breaches and privacy claims.

Coverage is subject to policy terms and exclusions.

Why The Southern Agency

01 Listen

We review your operations, coverage and past claims.

02 Assess

We look at hazards and ways to reduce risk.

03 Build

We compare carriers, premiums and audit terms.

04 Support

We revisit coverage as your work changes.



SCAN TO

**Read the full
guide**

800-777-1872

TheSouthernAgency.com